

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>14875-0141US1</b>	Application No. <b>10/530,696</b>
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant <b>Shuji Ozaki et al.</b>	
		Filing Date <b>October 28, 2005</b>	Group Art Unit <b>1643</b>
(37 CFR §1.98(b))			

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	2002/0197706	12/26/2002	Snodgrass et al.			
	2	2003/0082612	05/01/2003	Nadkarni et al.			
	3	2005/0130224	06/16/2005	Saito et al.			
	4	2007/0003556	01/07/2007	Tsuchiya et al.			
	5	2007/0281327	12/06/2007	Nakano et al.			
	6	2008/0009038	01/10/2008	Ohtomo et al.			
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	8	2008/0274110	11/06/2008	Ozaki et al.			
	9	2009/0022687	01/22/2009	Matsumoto et al.			
	10	6,699,686	03/02/2004	Brocard et al.			
	11	7,262,278	08/28/2007	Tawara et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	12	AU 755822	03/16/1999	Australia				
	13	EP 1 327 680 A1	07/16/2003	EPO				
	14	EP 1369431 A1	12/10/2003	EPO				
	15	EP 1757686	02/28/2007	EPO				
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	18	MX 9905856 A	07/31/2000	Mexico				
	19	WO 96/26648	09/06/1996	WIPO				
	20	WO 99/03495	01/28/1999	WIPO				
	21	WO 02/078612	10/10/2002	WIPO				
	22	WO 03/107218	12/24/2003	WIPO			Abstract only	
	23	WO 05/056602	06/23/2005	WIPO			Abstract only	
	24	WO 05/056603	06/23/2005	WIPO			Abstract only	

Examiner Signature <b>/Anne M. Gussow/</b>	Date Considered <b>06/07/2010</b>
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(37 CFR §1.98(b))			

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	25	WO 05/056604	06/23/2005	WIPO			Abstract only	
	26	WO 05/056605	06/23/2005	WIPO			Abstract only	
	27	WO 05/056798	06/23/2005	WIPO			Abstract only	
	28	WO 05/100560	10/27/2005	WIPO			Abstract only	

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	33	CAPLUS Accession Number 2005:547624, 2 pages (2008)
	34	Caron et al., "Engineered Humanized Dimeric Forms of IgG Are More Effective Antibodies," <i>J. Exp. Med.</i> , 176:1191-1195 (1992)
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	50	Souyri et al., "A putative truncated cytokine receptor gene transduced by the myeloproliferative leukemia virus immortalizes hematopoietic progenitors," <i>Cell</i> , 63:1137-1147 (1990)
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